

JAN. 29, 2002



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UNITED STATES PATENT AND TRADEMARK OFFICE

NO. 938

P.5/11  
Page I of 9

**COMMISSIONER FOR PATENTS**  
**UNITED STATES PATENT AND TRADEMARK OFFICE**  
**WASHINGTON, D.C. 20231**  
**[www.uspto.gov](http://www.uspto.gov)**

APPLICATION NUMBER	FILED/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/995 397	11/26/2001	David N. Fernandes	020174-004210US

**CONFIRMATION NO. 1799**

20350

**TOWNSEND AND TOWNSEND AND CREW, LLP  
TWO EMBARCADERO CENTER  
EIGHTH FLOOR  
SAN FRANCISCO, CA 94111-3834**



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## **FORMALITIES LETTER**

Date Mailed: 12/14/2001

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**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

FILED UNDER 37 CFR 1.53(b)

**Filing Date Granted**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
  - To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
  - The balance due by applicant is \$ 130.

*A copy of this notice will be returned with the reply.*

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Initial Patent Examination Division (703) 308-1202

PART 2 - CIVIL - E RETURNED WITH RESPONSE

02/05/2002 КВЕРНÉ 00000031 201430 09995397

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Group 3700



PTO FAX NO.: 1-703-872-9301

ATTENTION: Office of Initial Patent Examination

NO. 938 P.1/11

Attorney Docket No.: 020174-004210US

3200  
3

Art Unit 3735

**OFFICIAL COMMUNICATION**  
**RESPONSE TO NOTICE TO FILE MISSING PARTS OF**  
**NONPROVISIONAL APPLICATION**  
**FOR ENTRY IN APPLICATION NO. 09/995,397**  
**FILED NOVEMBER 26, 2001**

**RECEIVED**

FEB 22 2002

Technology Center 2100

**CERTIFICATE OF FACSIMILE TRANSMISSION**

I hereby certify that the following documents in re Application of David N. Fernandes et al., Application No. 09/995,397, filed November 26, 2001 for MICROFABRICATED FLUIDIC CIRCUIT ELEMENTS AND APPLICATIONS is being facsimile transmitted to the Patent and Trademark Office on the date shown below. All original, executed documents are retained in our files and will be submitted for review upon request by the Examiner.

Documents Attached

1. Transmittal Form (1 page)
2. Fee Transmittal (1 page submitted in duplicate, for 2 pages total)
3. Copy of Notice to File Missing Parts of Nonprovisional Application (1 page)
4. Executed Declaration (2 pages)
5. Supplemental Application Data Sheet (4 pages)

Number of pages being transmitted, including this page: 11

Dated: January 29, 2002

  
DJ Bogorodsky

TOWNSEND and TOWNSEND and CREW LLP  
Two Embarcadero Center, 8th Floor  
San Francisco, CA 94111-3834  
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PA 3198219 v1

JAN. 29, 2002 10:10AM TOWNSEND&amp;TOWNSEND

NO. 938 P.2/11

Please type a plus sign (+) inside this box → +

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0851-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

11

Application Number	09/995,397
Filing Date	November 26, 2001
First Named Inventor	Fernandes, David N.
Group Art Unit	3753
Examiner Name	Unassigned
Attorney Docket Number	020174-004210US

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## ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form (1 page)	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawings	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Receiving Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input checked="" type="checkbox"/> Executed declaration (2 pages)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Facsimile coversheet (1 page) Supplemental ADS (4 pages)
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number or CD(s)	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.
<input checked="" type="checkbox"/> Copy of Notice to File Missing Parts of NonProvisional Application (1 page)		

## SIGNATURE OF ATTORNEY, ATTORNEY, OR AGENT

Firm and individual name	Townsend and Townsend and Crew LLP Steven Cahill	Reg. No. 44,578
Signature		
Date	January 29, 2002	

## CERTIFICATE OF FAXSIMILE COVERSHEET

I hereby certify that this correspondence is being transmitted to the Patent and Trademark Office, Fax No. (703) 872-9301 on January 29, 2002

Typed or printed name	DJ Bogorodsky
Signature	
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NO. 938 P. 3/11

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 130

## Complete if Known

Application Number	08/995,397
Filing Date	November 26, 2001
First Named Inventor	Fernandes, David N.
Examiner Name	Unassigned
Group Art Unit	3753
Attorney Docket No.	020174-004210US

37 CFR 1.19

37 CFR 1.19